



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re application of:

Christoph Briehn et al.

Group Art Unit: Unknown

Examiner: Unknown

Serial No.: 10/599,285

Filed: September 25, 2006

For: CURABLE COMPOSITION CONTAINING SURFACE-MODIFIED PARTICLES

Attorney Docket No.: WAS 0807 PUSA

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97(b)**

Mail Stop Amendment  
Commissioner for Patents  
U.S. Patent & Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and §§ 1.97-1.98, the references listed and identified on the attached Forms PTO/SB08A and/or SB08B are being submitted herewith for consideration by the Examiner. This statement is being filed in accordance with 37 C.F.R. § 1.97(b).

While this Statement is being filed in compliance with the duty of disclosure, citation of the listed references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8 (FIRST CLASS MAIL)**

I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal Service as first-class mail, postage pre-paid, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, U.S. Patent & Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 on

10/16/2006  
Date of Deposit

William G. Conger  
Name of Person Signing

Signature

S/N: 10/599,285


Atty Dkt No. WAS 0807 PUSA

Accompanying the Information Disclosure Statement is the statement of Dr. Helmut Fritz describing the content of the references cited in the Information Disclosure Statement.

No copies of the listed U.S. patent references or the listed U.S. patent application publication references have been included herewith pursuant to 37 C.F.R. § 1.98(a)(2). All other references have been provided as required. Consideration and entry into the record of the listed references is respectfully requested.

Respectfully submitted,

**Christoph Briehn et al.**

By:   
William G. Conger  
Reg. No. 31,209  
Attorney/Agent for Applicant

Date: Oct. 16, 2006

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
CHRISTOPH BRIEHN ET AL. :  
Serial Number : Group Art Unit:  
Filed: :  
For: :  
CURABLE COMPOSITION CONTAINING SURFACE-MODIFIED PARTICLES

Information Disclosure Statement

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir or Madam:

I, Helmut Fritz, associated with the preparation and prosecution of the above-identified application, residing at Kreuzstraße 11, 83624 Otterfing, Germany, wish to call the attention of the Patent Examiner to the references enumerated on the enclosed PTO Form-1449.

I believe the documents enumerated on the enclosed Form PTO-1449 and attached thereto, are cited in the enclosed International Search Report and may be material to the examination of the application.

Therefore, it is respectfully requested that the foregoing Information Disclosure Statement be considered by the Examiner and incorporated into the file of this application.

I wish to comment as follows concerning the prior art references enumerated on PTO Form-1449:

US 4,455,205, cited in the application, is already in English language.

US 4,491,508, cited in the application, is already in English language.

US 6,306,502 B1, cited in the application, is already in English language.

WO 03/014226 A1, cited in the application, the corresponding abstract in English language is included (front page, point (57)).

WO 03/018658 A1, cited in the application, the corresponding abstract in English language is included (front page, point (57)).

DE 102 00 928 A1, cited in the application, the corresponding Patbase abstract is enclosed.

WO 2004/089961 A1, cited in the International Search Report, the corresponding abstract in English language is included (front page, point (57)).

ALTMANN ET AL., PFEIFFER ET AL.: „The Hydrolysis/Condensation Behaviour of Methacryloyloxyalkylfunctional Alkoxysilanes: Structure-Reactivity Relations“, Monatshefte für Chemie (Chemical Monthly) 134, 2003, Pages 1081 – 1092, cited in the International Search Report, is already in English language.

Signed this 25<sup>th</sup> day of September, 2006.

  
.....  
Dr. Helmut Fritz

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for Form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

**Complete if Known**

|                               |                         |
|-------------------------------|-------------------------|
| <b>Application Number</b>     | 10/599,285              |
| <b>Filing Date</b>            | September 25, 2006      |
| <b>First Named Inventor</b>   | Christoph Briehn et al. |
| <b>Group Art Unit</b>         | Unknown                 |
| <b>Examiner Name</b>          | Unknown                 |
| <b>Attorney Docket Number</b> | WAS 0807 PUSA           |

Sheet

1

of

2

Attorney Docket Number

WAS 0807 PUSA

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

Examiner  
Signature

/Hannah Pak/

Date  
Considered

07/24/2008

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08B (10-96) [reproduced]  
 Approved for use through 10/31/99. OMB 0651-0031  
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|---|-----------------------|---|---|--------------------------|-------------------------|
| Substitute for Form 1449B/PTO<br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br><i>(use as many sheets as necessary)</i> |                       |   |   | <b>Complete if Known</b> |                         |
|   |                       |   |   | Application Number       | 10/599,285              |
|   |                       |   |   | Filing Date              | September 25, 2006      |
|   |                       |   |   | First Named Inventor     | Christoph Briehn et al. |
|   |                       |   |   | Group Art Unit           | Unknown                 |
|   |                       |   |   | Examiner Name            | Unknown                 |
| Sheet   | 2                     | of  | 2 | Attorney Docket Number   | WAS 0807 PUSA           |
| <b>OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS</b>   |                       |   |   |                          |                         |
| Examiner<br>Initials  | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |   |                          | T <sup>2</sup>          |
|   |                       | Patbase abstract corresponding to DE 102 00 928 A1.   |   |                          |                         |
|   |                       | Altmann et al., Pfeiffer et al.,<br>"The Hydrolysis/Condensation Behaviour of<br>Methacryloyloxyalkylfunctional Alkoxysilanes: Structure-<br>Reactivity Relations", MONATSHFTE FÜR CHEMIE 134 (Chemical<br>Monthly), 2003, Pages 1081-1092.                     |   |                          |                         |
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|                       |  |                    |  |
|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.